

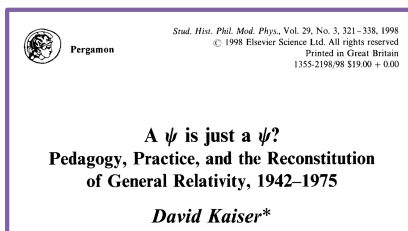
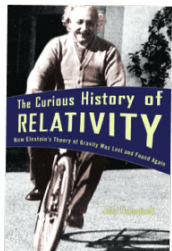
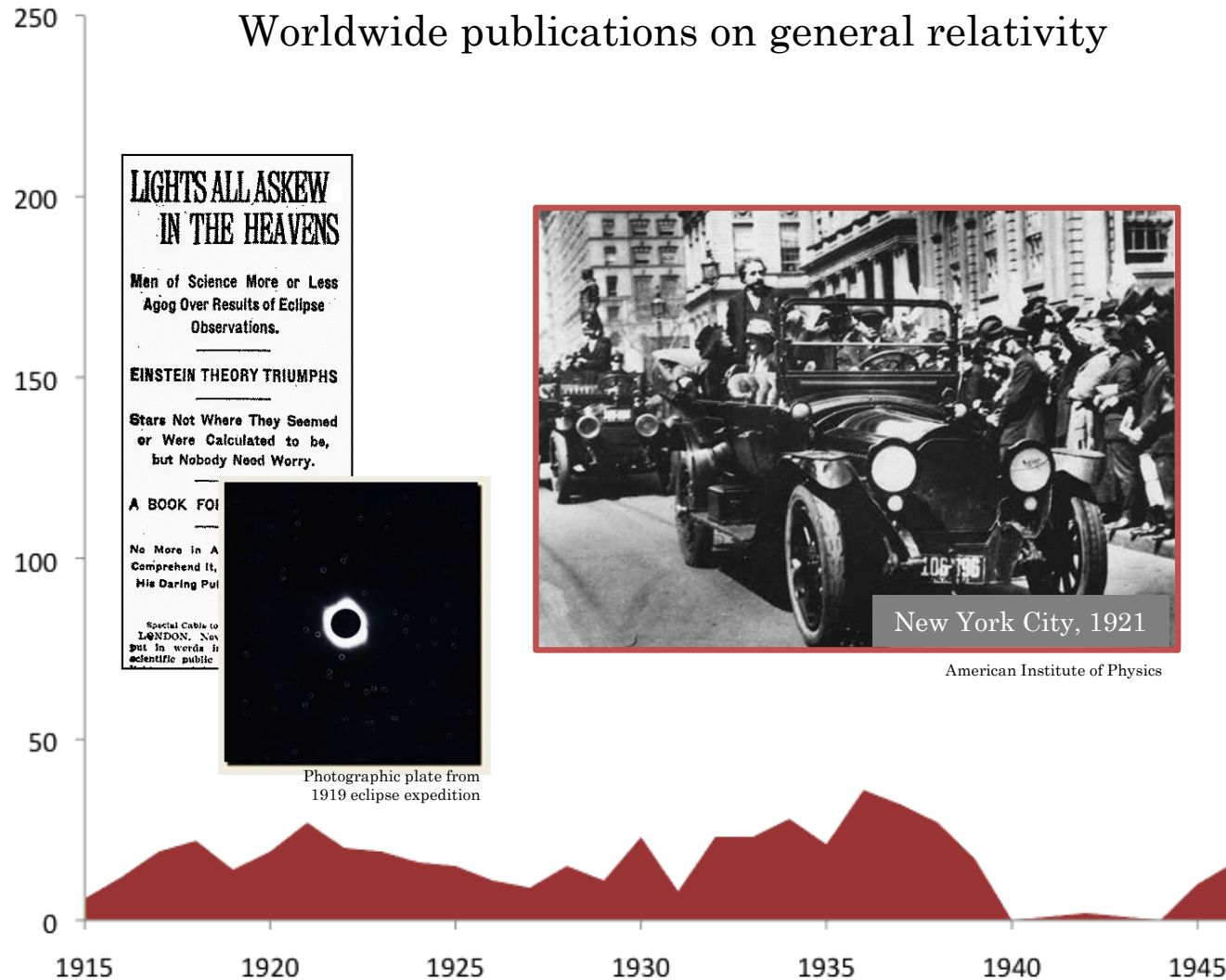
Misner, Thorne,  
Wheeler,  
*Gravitation:*

A 50-Year  
Anniversary  
Celebration

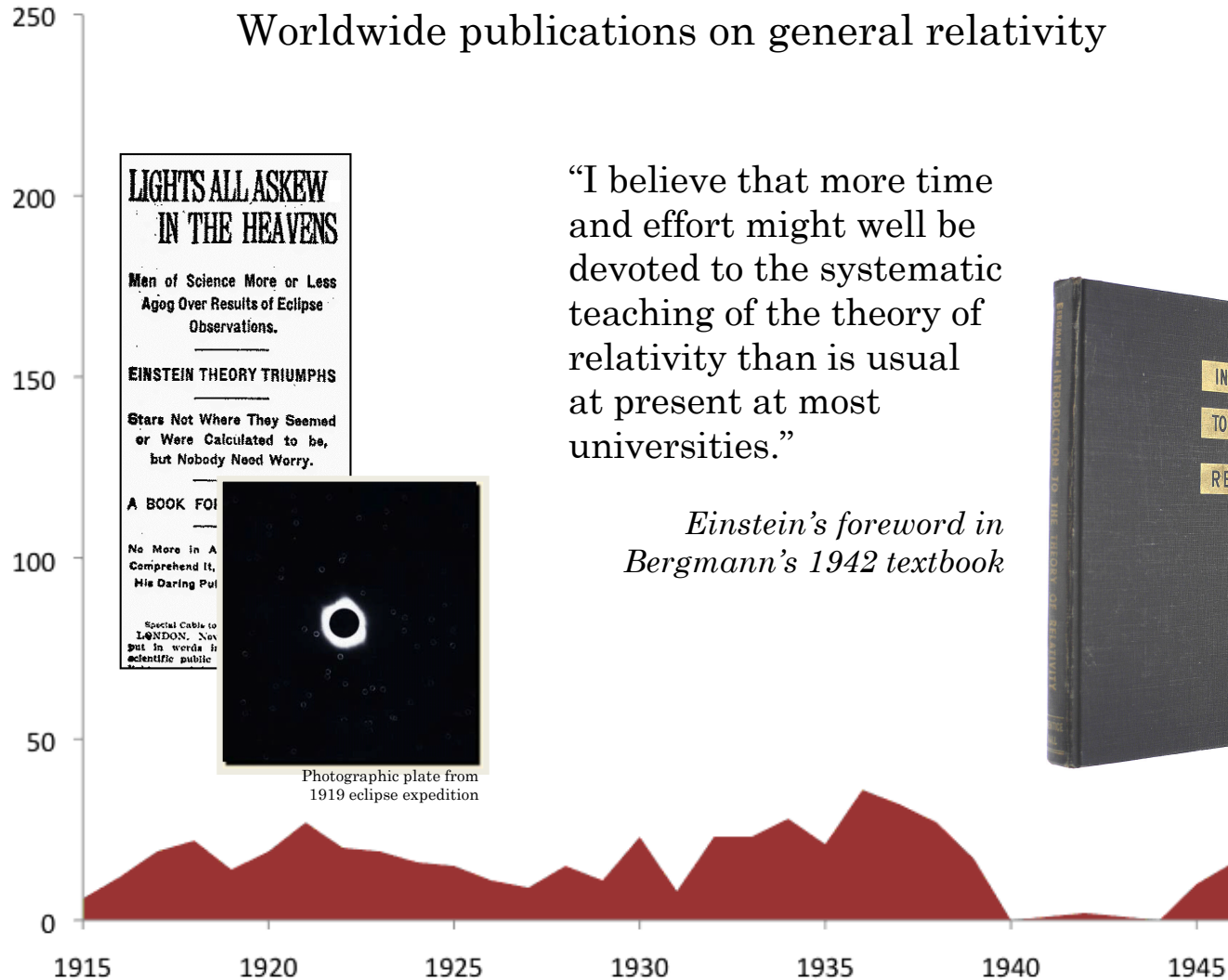
David Kaiser



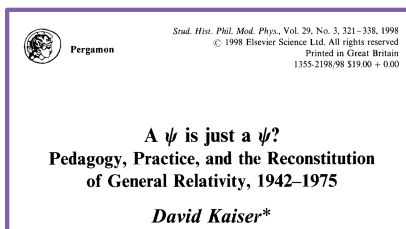
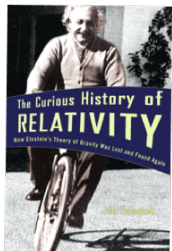
# The Ups and Downs of Gravity



# The Ups and Downs of Gravity

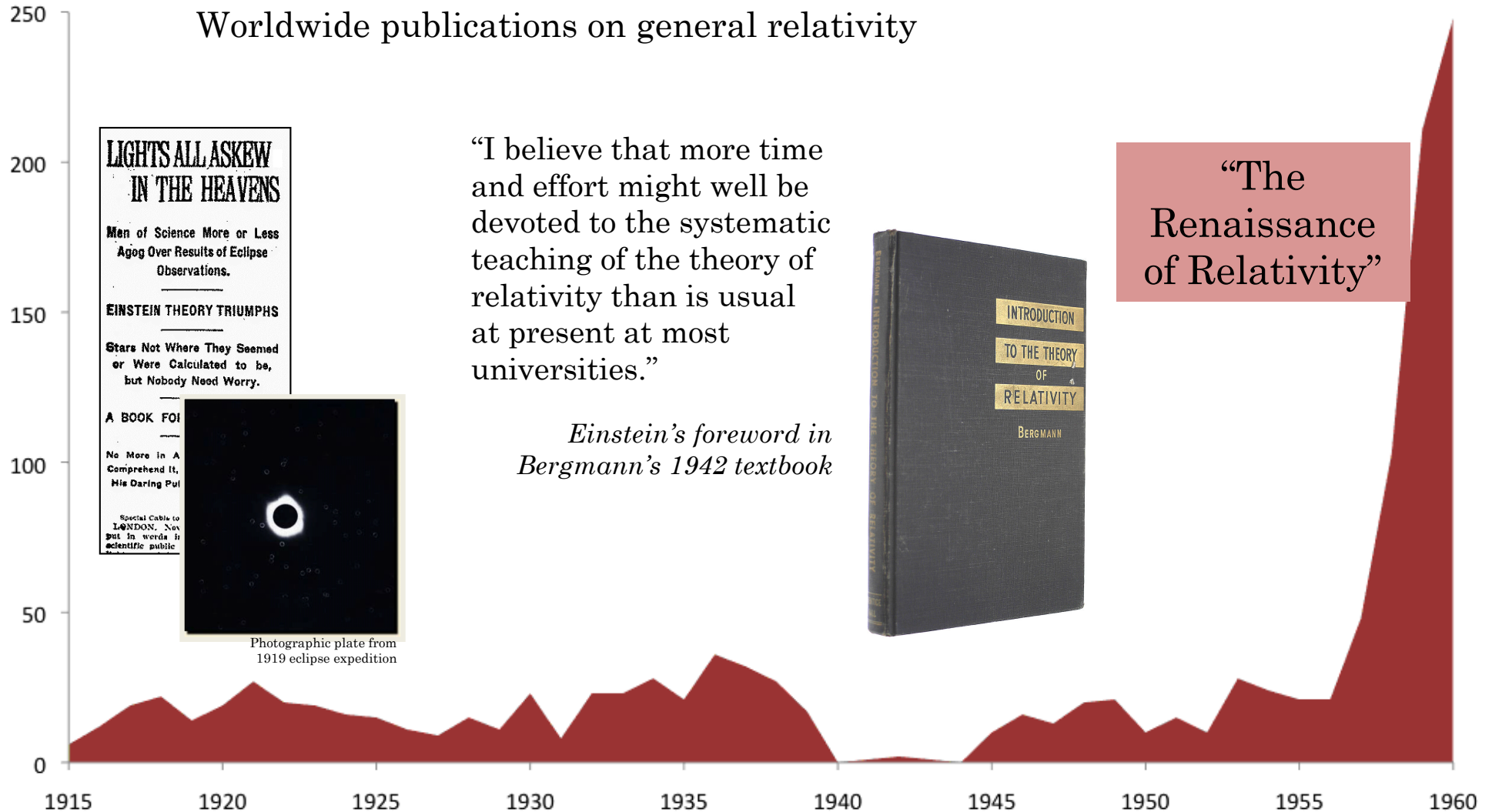


Throughout the 1950s, most physics PhD programs in the US neither required nor recommended coursework on general relativity, nor included GR on graduate students' qualifying exams.



# The Ups and Downs of Gravity

Worldwide publications on general relativity



**LIGHTS ALL ASKEW  
IN THE HEAVENS**

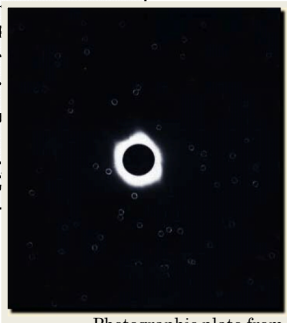
Men of Science More or Less  
Agog Over Results of Eclipse  
Observations.

**EINSTEIN THEORY TRIUMPHS**

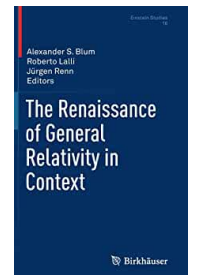
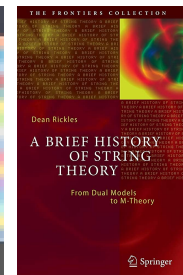
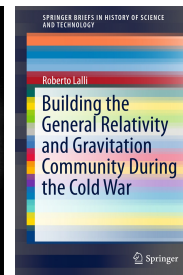
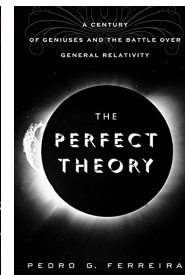
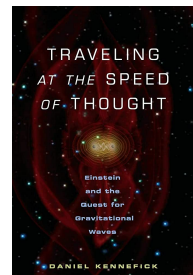
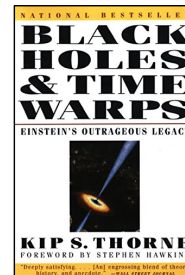
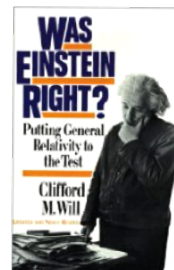
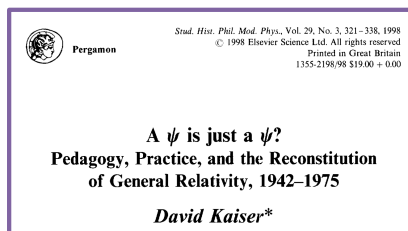
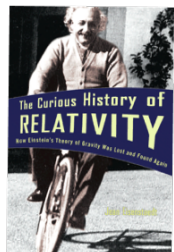
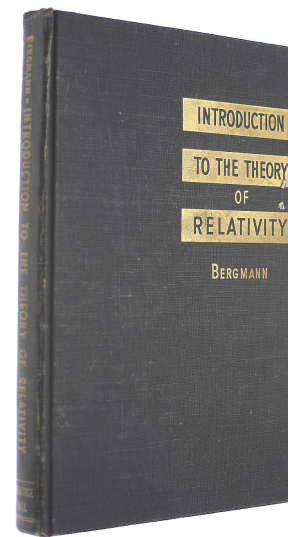
Stars Not Where They Seemed  
or Were Calculated to be,  
but Nobody Need Worry.

A BOOK FOR  
No More in A  
Comprehend It,  
His Darling Pub!

Special Cable to  
LONDON, Nov  
But in words it  
scientific public



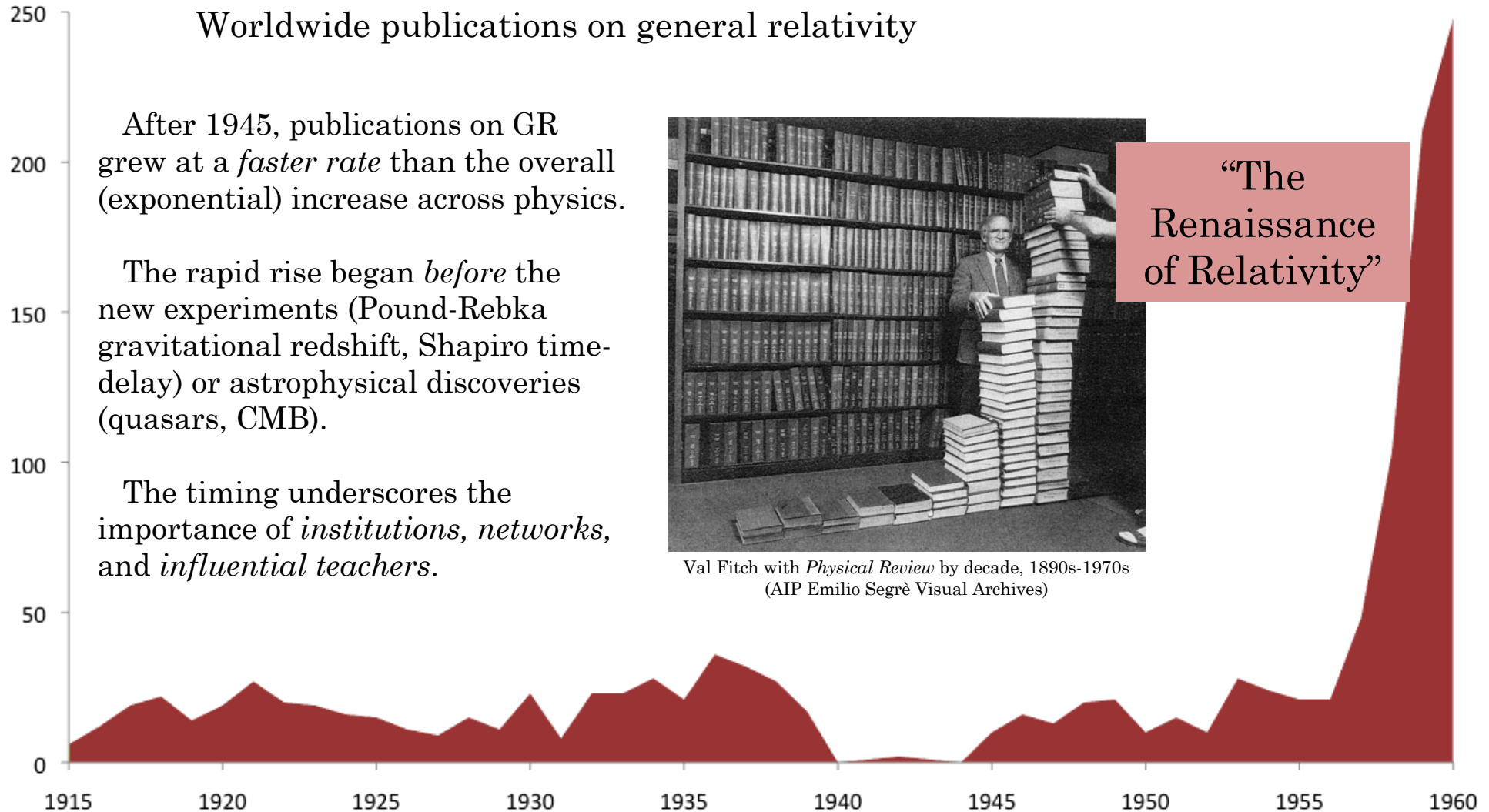
Photographic plate from  
1919 eclipse expedition





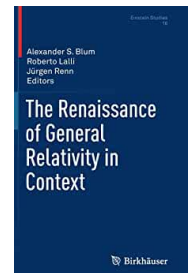
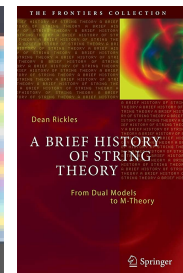
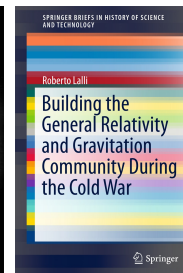
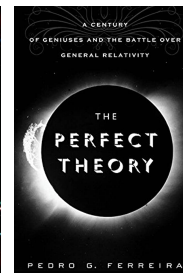
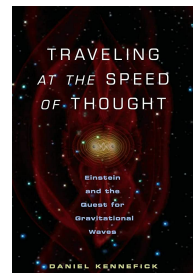
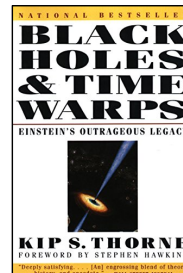
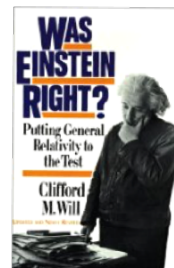
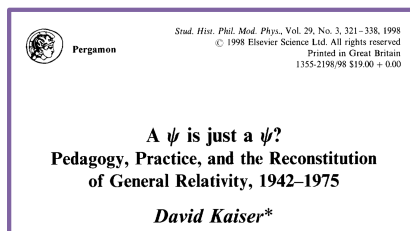
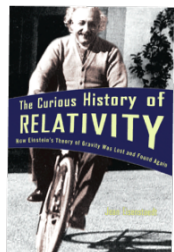
# The Ups and Downs of Gravity

## Worldwide publications on general relativity

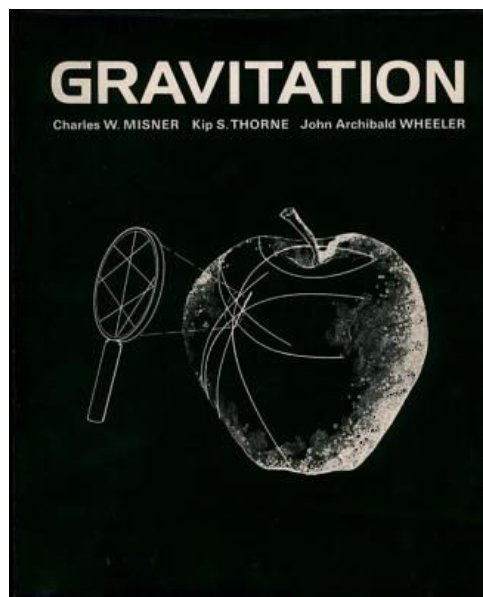


Val Fitch with *Physical Review* by decade, 1890s-1970s  
(AIP Emilio Segrè Visual Archives)

“The Renaissance of Relativity”



# What Kind of Book is MTW?



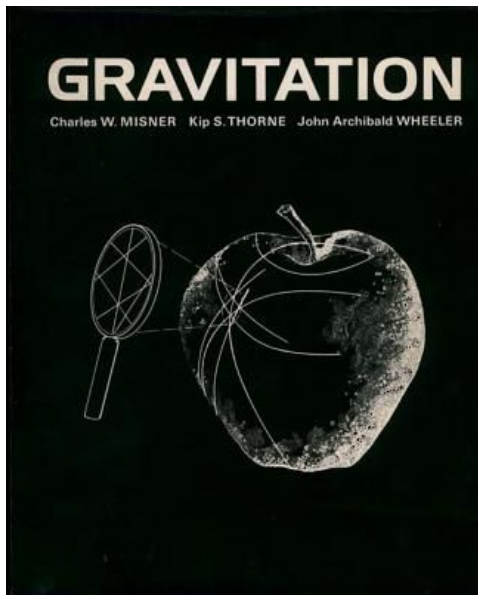
*Thought on preface  
Mon. 13 July 1970*

*Previous preface - considered relativity  
largely sold & or at least understood.*

*This preface has to do more - make clear  
the idea itself. But soberly, factually, no  
hyperbole, no enthusiasm. The Committee  
planning graduate courses in U. of X.*

John A. Wheeler papers,  
American Philosophical Society

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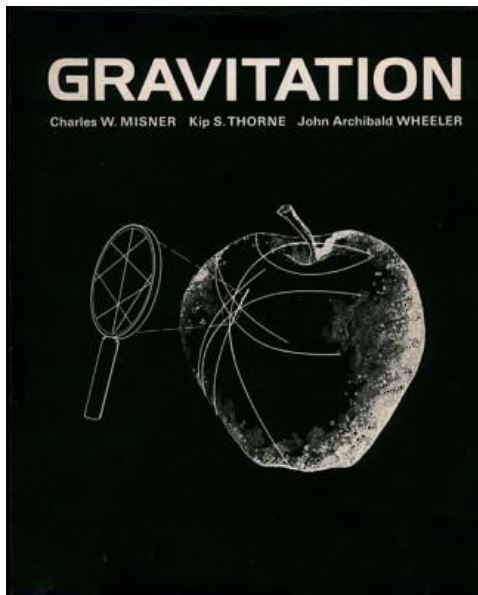
## PREFACE

This is a textbook on gravitation physics (Einstein's "general relativity" or "geometrodynamics"). It supplies two tracks through the subject. The first track is focused on the key physical ideas. It assumes, as mathematical prerequisite, only vector analysis and simple partial-differential equations. It is suitable for a one-semester course at the junior or senior level or in graduate school; and it constitutes—in the opinion of the authors—the indispensable core of gravitation theory that every advanced student of physics should learn. The Track-1 material is contained in those pages of the book that have a 1 outlined in gray in the upper outside corner, by which the eye of the reader can quickly pick out the Track-1 sections. In the contents, the same purpose is served by a gray bar beside the section, box, or figure number.

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1.	Exterior Calculus	90
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# What Kind of Book is MTW?



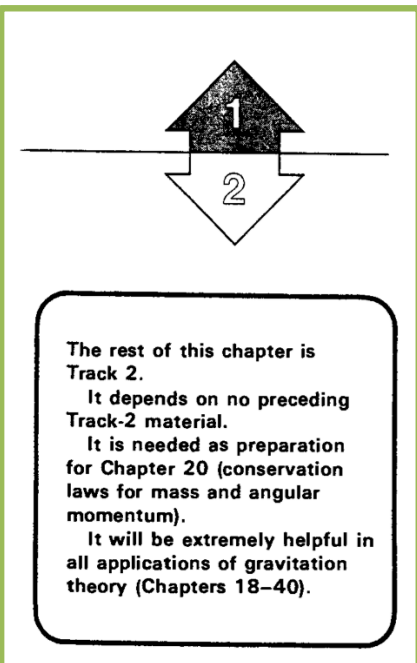
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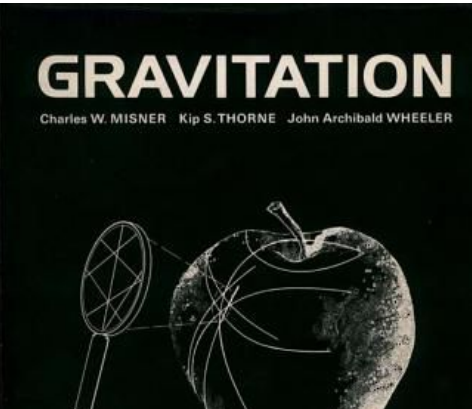
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# What Kind of Book is MTW?



§5.2. THREE-VOLUMES AND STRESS-ENERGY TENSOR

133

Figure 5.1.  
The "river" of 4-momentum flowing through spacetime, and three different 3-volumes across which it flows. (One dimension is suppressed from the picture; so the 3-volumes look like 2-volumes.) The first 3-volume is the interior of a cubical soap box momentarily at rest in the depicted Lorentz frame. Its edges are  $Le_x$ ,  $Le_y$ ,  $Le_z$ ; and its volume 1-form, with "positive" sense toward future ("standard orientation"), is  $\Sigma = L^3 dt = -Vu(V = L^3 = \text{volume as measured in rest frame; } u = -dt = 4\text{-velocity of box})$ . The second 3-volume is the "world sheet" swept out in time  $\Delta t$  by the top of a second cubical box. The box top's edges are  $Le_x$  and  $Le_y$ ; and its volume 1-form, with "positive" sense away from the box's interior, in direction of increasing  $y$ , is  $\Sigma = L^2 \Delta t dy = d' \Delta \sigma$  ( $d' = L^2 = \text{area of box top; } \sigma = dy = \text{unit 1-form containing world tube}$ ). The third 3-volume is an arbitrary one, with edges  $A$ ,  $B$ ,  $C$  and volume 1-form  $\Delta x_\mu = \epsilon_{\mu\nu\delta\gamma} A^\nu B^\delta C^\gamma$ .

its positive sense (i.e., from its "negative side" toward its "positive side"). To calculate the answer: (1) Construct the "volume 1-form"

$$\Sigma_\mu = +\epsilon_{\mu\nu\delta\gamma} A^\nu B^\delta C^\gamma; \quad (5.1)$$

the parallelepiped lies in one of the 1-form surfaces, and the positive sense across the parallelepiped is defined to be the positive sense of the 1-form  $\Sigma$ . (2) Insert this volume 1-form into the second slot of the stress-energy tensor  $T$ . The result is

$$T(\dots, \Sigma) = p = \left( \begin{array}{l} \text{momentum crossing from} \\ \text{negative side toward positive side} \end{array} \right). \quad (5.2)$$

empty slot

(3) To get the projection of the 4-momentum along a vector  $w$  or 1-form  $\alpha$ , insert the volume 1-form  $\Sigma$  into the second slot and  $w$  or  $\alpha$  into the first:

$$T(w, \Sigma) = w \cdot p, \quad T(\alpha, \Sigma) = \langle \alpha, p \rangle. \quad (5.3)$$

This defines the stress-energy tensor.

Box 1.6 CURVATURE OF WHAT?

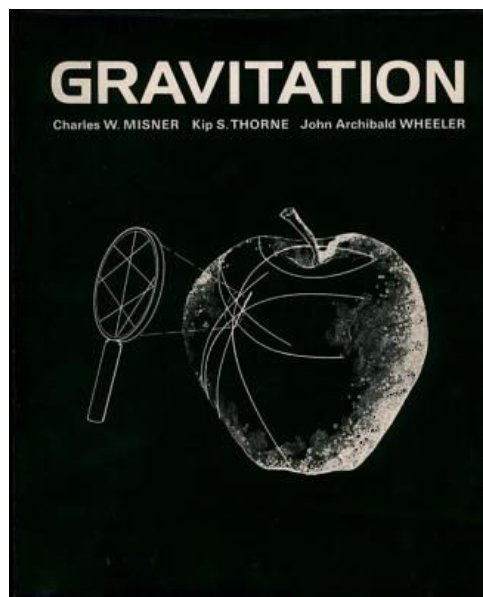
Nothing seems more attractive at first glance than the idea that gravitation is a manifestation of the curvature of space (A), and nothing more ridiculous at a second glance (B). How can the tracks of a ball and of a bullet be curved so differently if that curvature arises from the geometry of space? No wonder that great Riemann did not give the world a geometric theory of gravity. Yes, at the age of 28 (June 10, 1854) he gave the world the mathematical machinery to define and calculate curvature (metric and Riemannian geometry). Yes, he spent his dying days at 40 working to find a unified account of electricity and gravitation. But if there was one reason more than any other why he failed to make the decisive connection between gravitation and curvature, it was this, that he thought of space and the curvature of space, not of spacetime and the curvature of spacetime. To make that forward step took the forty years to special relativity (1905: time on the stage footing as space) and then another ten years (1915: general relativity). Depicted in spacetime (C), the tracks of ball and bullet appear to have comparable curvature. In fact, however, neither track has any curvature at all. They both look curved in (C) only because one has forgotten that the spacetime they reside in is itself curved—curved precisely enough to make these tracks the straightest lines in existence ("geodesics").

If it is at first satisfying to see curvature, and curvature of spacetime at that, coming to the fore in so direct a way, then a little more reflection produces a renewed sense of concern. Curvature with respect to what? Not with respect to the laboratory. The earth-bound laboratory has no simple status whatsoever in a proper discussion. First, it is no Lorentz frame. Second, even to mention the earth makes one think of an action-at-a-distance version of gravity (distance from center of earth to ball or bullet). In contrast, it was the whole point of Einstein that physics looks simple only when analyzed locally. To look at local physics, however, means to compare one geodesic of one test particle with geodesics of other test particles traveling (1) nearby with (2) nearly the same directions and (3) nearly the same speeds. Then one can "look at the separations between these nearby test particles and from the second time-rate of change of these separations and the 'equation of geodesic deviation' (equation 1.8) read out the curvature of spacetime."

“Several features of the manuscript will require special typesetting problems.” They would require *at least* 6 distinct typefaces, perhaps as many as 8. “The extreme complexity of the typography” meant that equations from the original edition should be photographed and pasted in to foreign-language editions, rather than attempting to retypeset them.

Kip Thorne to Earl Tondreau (editor at W. H. Freeman), October 14, 1970;  
Thorne to Ya. B. Zel'dovich and I. D. Novikov, June 21, 1973.

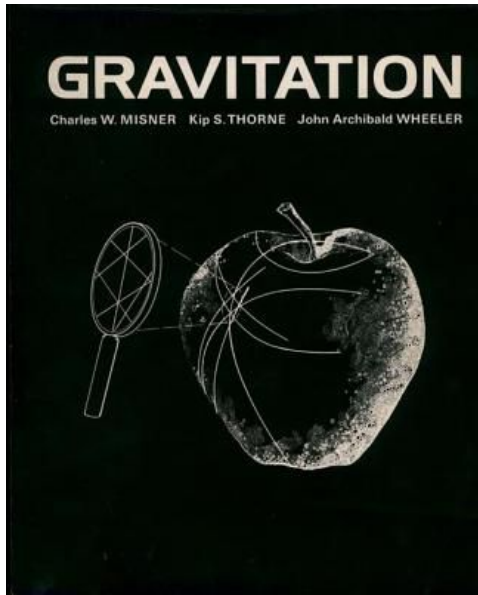
# What Kind of Book is MTW?



“I was rather shocked to learn from Bruce [Armbruster, the editor] that the people at [W. H.] Freeman are so out-of-touch with our book that they have not been regarding it as a textbook, but rather as a technical monograph. I suppose that the enormous size of the book has something to do with it. [...] Freeman had not been expecting to pick up the textbook market with this book” at all, but rather to prepare an expensive hardcover edition for sale to libraries.

*Kip Thorne to John Wheeler and Charles Misner, February 17, 1972*

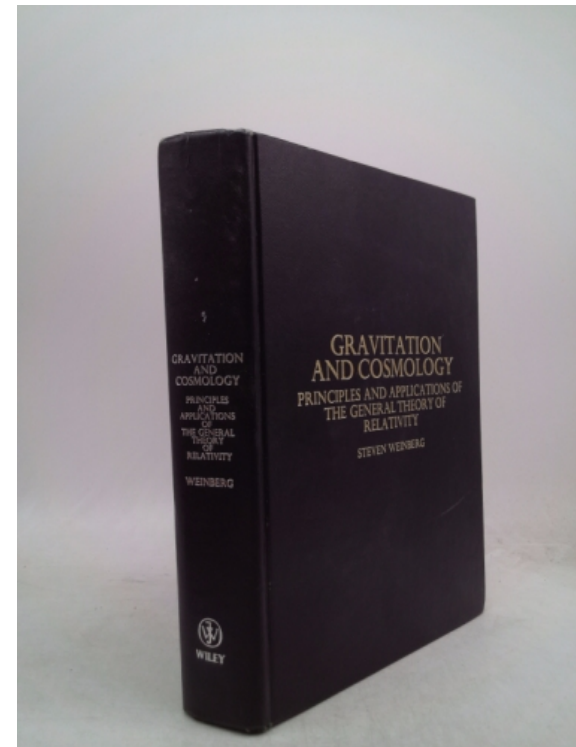
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After some frenzied negotiations over pricing and royalty rates, the publisher agreed to publish a sturdy paperback edition priced at \$19.95 [around \$130 today], so that the **paperback** edition of **MTW** would remain comparable in price to the **hardcover** edition of Steven Weinberg's *Gravitation and Cosmology* (1972).



# What Kind of Book is MTW?

“A pedagogic masterpiece.”

Dennis Sciama, *Science* (March 22, 1974)

## Box 1.6 CURVATURE OF WHAT?

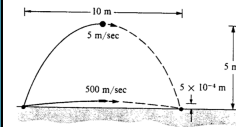
Nothing seems more attractive at first glance than the idea that gravitation is a manifestation of the curvature of space (A), and nothing more ridiculous than the idea that gravitation is a manifestation of the curvature of spacetime (B). However, the truth is that gravitation is a manifestation of the curvature of spacetime (C).

of spacetime and the curvature of spacetime. To make that forward step took the forty years to special relativity (1905): time on the same footing as space and then another ten years (1915) to general relativity.

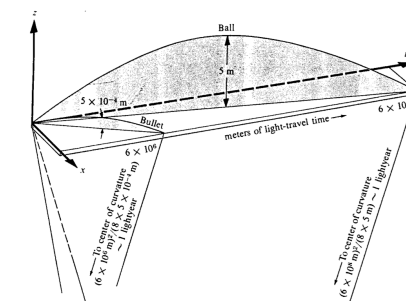
ratory. The earth-bound laboratory has no simple status whatsoever in a proper discussion. First, it is no Lorentz frame. Second, even to mention the earth makes one think of an action at a distance.

“One of the great books of science, a lamp to illuminate this Aladdin’s cave of theoretical physics whose genie was Albert Einstein.”

Michael Berry, *Science Progress* (1975)



B. Tracks of ball and bullet through space as seen in laboratory have very different curvatures.



C. Tracks of ball and bullet through spacetime, as recorded in laboratory, have comparable curvatures. Track compared to arc of circle: (radius) = (horizontal distance)/8 (rise).

“This is a difficult book to read in a linear, progressive fashion. [...] There is a commendable attempt at informality, but this reviewer found the breeziness irritating at times.”

L. Resnick, *Physics in Canada* (June 1975)

“The variety of gimmicks is bewildering—framed headings with quotations, marginal titles, ‘boxes’ sometimes extending over several pages, heavy type, light type, large type, small type. Clearly the book is an experiment in presentation on a grand scale.”

W. H. McCrea, *Contemporary Physics* (July 1974)

Figure 5. The “river” flows. (Of 3-volume edges are orientation of box). The box’s  $\sigma = dy/dx$ . B, C and

its position the answer

$$\Sigma_{\mu} = +\epsilon_{\mu\alpha\beta\gamma}A^{\alpha}B^{\beta}C^{\gamma}; \quad (5.1)$$

the parallelepiped lies in one of the 1-form surfaces, and the positive sense across the parallelepiped is defined to be the positive sense of the 1-form  $\Sigma$ . (2) Insert this volume 1-form into the second slot of the stress-energy tensor  $T$ . The result is

$$T(\dots, \Sigma) = p = \left( \begin{array}{l} \text{momentum crossing from} \\ \text{negative side toward positive side} \end{array} \right). \quad (5.2)$$

(3) To get the projection of the 4-momentum along a vector  $w$  or 1-form  $\alpha$ , insert the volume 1-form  $\Sigma$  into the second slot and  $w$  or  $\alpha$  into the first:

$$T(w, \Sigma) = w \cdot p, \quad T(\alpha, \Sigma) = \langle \alpha, p \rangle. \quad (5.3)$$

This defines the stress-energy tensor.

Momentum crossing a 3-volume calculated, using stress-energy tensor

A reader would be most comfortable with *MTW* “if he is a regular subscriber to *Time* magazine—the writing of these authors has much in common with its breathless style.”

Ian Roxburgh, *New Scientist* (September 26, 1974)



Today—Sunny and warmer with high in 70s, low about 50 tonight. Monday—Warm with showers and thunderstorms likely. Chance of rain is 10% tonight. Temp. range: Yesterday, 37-67. Today, 45-57. Details on Page D2.

# The Washington Post

Amusements B 1 Metro D 1  
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Books F 7 Outdoors C13  
Editorials B 6 Sports C 1  
Financial K 1 Style H 1  
Living G 1 Travel G 1

## Ups and Downs of 'Gravitation'

**GRAVITATION.** By Charles W. Misner, Kip S. Thorne, and John Archibald Wheeler. W. H. Freeman. 1,279 pp. Cloth, \$39.50; paper, \$19.95

By DAVID PARK

WHEN LAWS OF NATURE have been firmly established, a scientist often allows himself the pleasure of imagining what would happen, according to these laws, under wildly extreme circumstances. Archimedes, having proved (falsely, as it turns out) the law of the lever, said, "Give me a place to stand and I will move the world." Isaac Newton, at 22, wondered whether the same principles that govern the fall of an apple from a tree also govern the motion of the moon, which falls forever towards the earth but always so as to pass to one side of it. More than a century later, Pierre-Simon Laplace showed that in Newton's theory the gravitational field of an extremely massive star would suck back all light emitted from it: "A luminous star having the same density as the earth, but a diameter 250 times that of the sun, would not permit any of its rays to reach us; it is thus possible that the largest luminous bodies in the universe are by this fact invisible."

In 1915, Albert Einstein replaced Newton's theory of gravity by a new theory that did not contain the idea of a gravitational force at all, but postulated that the apple and the moon go where they go along force-free paths in a curved spacetime. The agreement with experiment was spectacular but the curvatures involved were very mild; at the earth's surface where we encounter it, the curvature corresponds to that of an arc of a circle whose center lies near the sun. Even such small effects required prodigies of mathematical effort, and the number of physicists with the time and energy to spend on working out the theory's more fanciful consequences remained small for many years, while the general public seemed to

enjoy telling each other that only two, or six, or 12 men in the world understood Einstein's theory.

In 1939 there appeared papers by Robert Oppenheimer and two collaborators following up the Laplacian fantasy (though they didn't mention Laplace and probably did not know what he had written). Their stars were just as extreme as Laplace's but in another way: about as massive as the sun but only a few miles across. Using somewhat primitive arguments they showed that such a star, having the same density as an atomic nucleus, as it cools would be unable to withstand the huge force of its own gravity and would collapse still further. Any unfortunate inhabitant of such an object would find that in the course of about a day all his options vanish: he could no longer escape and even the beam of the flashlight he used for signaling would curve back and light up the ground at his feet.

Is this the destiny of every star as it cools and contracts? Or is it an absurdity produced by pushing a good theory beyond the furthest limits of its applicability? "Gravitational collapse," John Archibald Wheeler has written, "is the greatest crisis of physics to emerge from general relativity."

There are several reasons why Einstein's theory, almost 60 years after its birth, is now in a period of explosive development. There is at last a considerable group of physicists who have mastered the necessary mathematics and, in addition, computers have come to their aid. But more important, there are new phenomena to be explained.

So now books on the subject are coming out, and the one most discussed and criticized and wondered over is by Wheeler, at Princeton, and two of his former students, Charles Misner of Maryland and Kip Thorne of the California Institute of Technology. Perhaps it is strange to review here a textbook full of mathematics, a book, moreover, whose 6.7-pound bulk the young, the old and the infirm can scarcely lift. But those who read like to know what is being published and discussed.

Imagine that three highly inventive people get together to invent a scientific book. Not just write it, but invent the

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There are very few stories that should be told sequentially. The *nouvelle vague* people knew that and adopted various strategies for breaking up a linear narrative, and future scientific writers may wish to consult Nabokov's *Pale Fire*. In *Gravitation*, there are two strategies. The whole book can be read on two tracks, and each page identifies its track. The reader chooses according as his curiosity and energy are bounded (Track 1) or unbounded (Track 2).

How could a structure of such complexity have been put together by three authors living in New Jersey, Maryland and California? The answer: hardly. There is an excellent index but one passes some of one's time on high ground and some in a swamp, looking for paths.

Still, how many swamps there are in which one can learn mathematics and history and physics, from whose unsure surface one can survey the entire universe, slowly evolving from some primeval explosion, populated by many sizes and conditions of gravitating matter and crossed by the last bursts of electromagnetic and gravitational radiation that mark the death and disappearance of a collapsing star? □

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DAVID PARK is a professor of physics at Williams College.

# The Weather

Today—Sunny and warmer with high in 70s, low about 50 tonight. Monday—Warm with showers and thunderstorms likely. Chance of rain is 10% tonight. Temp. range: Yesterday, 37-67. Today, 45-77. Details on Page D2.

# The Washington Post

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## Ups and Downs of 'Gravitation'

**GRAVITATION.** By Charles W. Misner, Kip S. Thorne, and John Archibald Wheeler. W. H. Freeman. 1,279 pp. Cloth, \$39.50; paper, \$19.95

By DAVID PARK

WHEN LAWS OF NATURE have been firmly established, a scientist often allows himself the pleasure of imagining what would happen, according to these laws, under wildly extreme circumstances. Archimedes, having proved (falsely, as it turns out) the law of the lever, said, "Give me a place to stand and I will move the world." Isaac Newton, at 22, wondered whether the same principles that govern the fall of an apple from a tree also govern the motion of the moon, which falls forever towards the earth but always so as to pass to one side of it. More than a century later, Pierre-Simon Laplace showed that in Newton's theory the gravitational field of an extremely massive star would suck back all light emitted from it: "A luminous star having the same density as the earth, but a diameter 250 times that of the sun, would not permit any of its rays to reach us; it is thus possible that the largest luminous bodies in the universe are by this fact invisible."

In 1915, Albert Einstein replaced Newton's theory of gravity by a new theory that did not contain the idea of a gravitational force at all, but postulated that the apple and the moon go where they go along force-free paths in a curved space-time. The agreement with experiment was spectacular but the curvatures involved were very mild; at the earth's surface where we encounter it, the curvature corresponds to that of an arc of a circle whose center lies near the sun. Even such small effects required prodigies of mathematical effort, and the number of physicists with the time and energy to spend on working out the theory's more fanciful consequences remained small for many years, while the general public seemed to

enjoy telling each other that only two, or six, or 12 men in the world understood Einstein's theory.

In 1939 there appeared papers by Robert Oppenheimer and two collaborators following up the Laplacian fantasy (though they didn't mention Laplace and probably did not know what he had written). Their stars were just as extreme as Laplace's but in another way: about as massive as the sun but only a few miles across. Using somewhat primitive arguments they showed that such a star, having the same density as an atomic nucleus, as it cools would be unable to withstand the huge force of its own gravity and would collapse still further. Any unfortunate inhabitant of such an object would find that in the course of about a day all his options vanish: he could no longer escape and even the beam of the flashlight he used for signaling would curve back and light up the ground at his feet.

Is this the destiny of every star as it cools and contracts? Or is it an absurdity produced by pushing a good theory beyond the furthest limits of its applicability? "Gravitational collapse," John Archibald Wheeler has written, "is the greatest crisis of physics to emerge from general relativity."

There are several reasons why Einstein's theory, almost 60 years after its birth, is now in a period of explosive development. There is at last a considerable group of physicists who have mastered the necessary mathematics and, in addition, computers have come to their aid. But more important, there are new phenomena to be explained.

So now books on the subject are coming out, and the one most discussed and criticized and wondered over is by Wheeler, at Princeton, and two of his former students, Charles Misner of Maryland and Kip Thorne of the California Institute of Technology. Perhaps it is strange to review here a textbook full of mathematics, a book, moreover, whose 6.7-pound bulk the young, the old and the infirm can scarcely lift. But those who read like to know what is being published and discussed.

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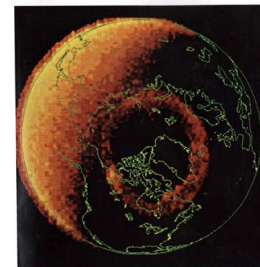
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A few years later, the publisher W. H. Freeman advertised a discount rate for *MTW* to subscribers of *Scientific American*—a far cry from their original assessment that *MTW* would only sell to libraries.

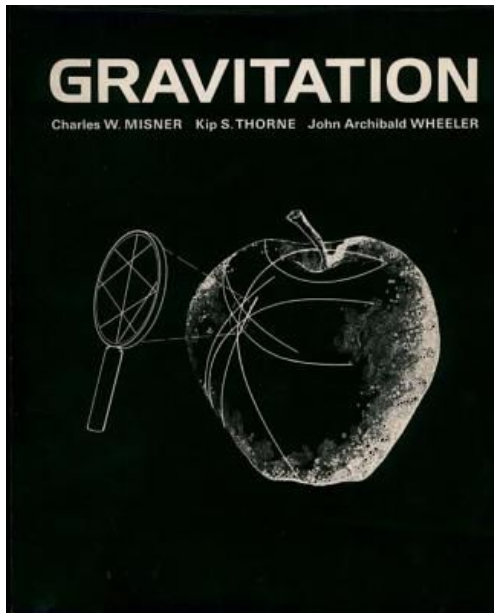
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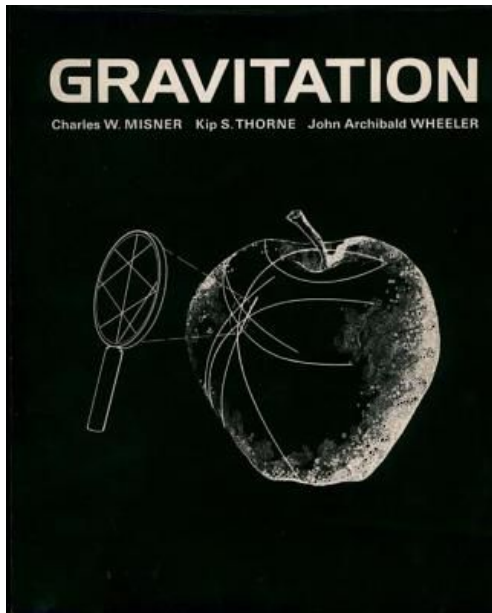


“I stumble here, fall down there, and generally make a fool of myself as I wander about your textbook, but I am gaining a sense of balance and a few tools with which to deal with the subject.”

“When friends ask me about what I am doing, I have made the mistake of telling them the truth [about his attempts to read *MTW*]. Sometimes I think they are right, I feel as though I am on the brink of madness. I go out to have a beer and listen to someone talk about his love affairs, the clutch on his pick-up truck, the problems with his children, the plumbing, the bus service. I look at him and see him dealing with all these important issues and I ask myself why do I care if I ever understand the difference between leptons and leprosy?”

Yet he had become “obsessed” with Einstein’s own question: “whether or not God had any choice in the creation of the Universe. Could God be a traveling technician whose responsibility is to supervise gravitational collapses and big bangs?”

*Dan Foley to Kip Thorne, February 7, 1980*



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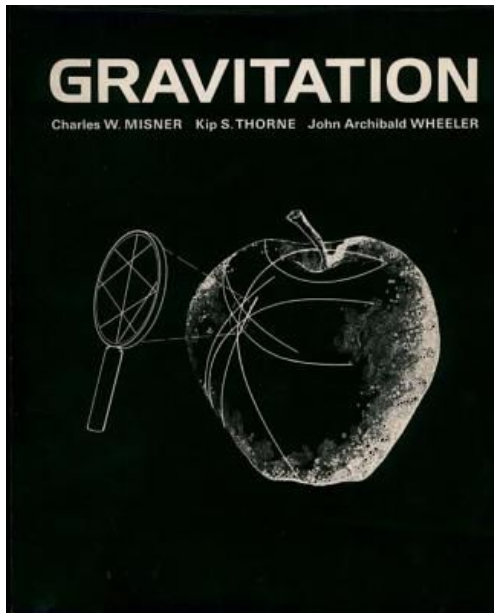
“Many people buy the book who are attracted by the mystique, the boxes, the interesting illustrations, the ideas, but who don’t expect to and never will get deep into the mathematics. [...] I think we can add a few things and take away a lot of things to keep this group ‘on board.’”

*John A. Wheeler to Peter Renz (editor at W. H. Freeman), June 28, 1979*



John Wheeler lecturing in Cambridge, UK, 1971  
(*Physics Today* April 2009)



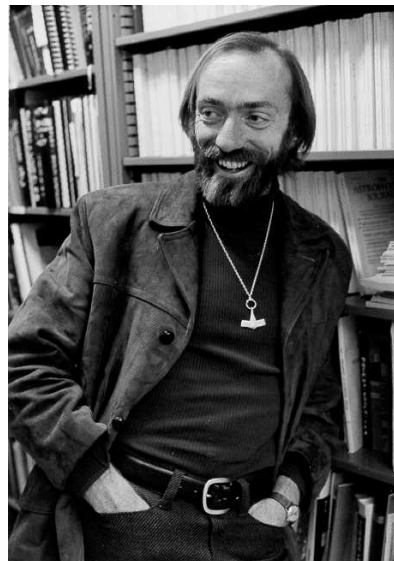


Since its original publication in 1973, Misner, Thorne, and Wheeler's *Gravitation* has been a fascinating and inspiring *hybrid*: part research monograph, part textbook, and part popular book, all wrapped up in “merely” 1279 pages.

Congratulations on the book's first 50 years! And—with the 2017 reprint edition from Princeton University Press—good luck with the next 50 years!



Charles Misner  
(AIP Emilio Segrè Visual Archives)



Kip Thorne  
(AIP Emilio Segrè Visual Archives)



John Wheeler lecturing in Cambridge, UK, 1971  
(*Physics Today* April 2009)